

Tensors decomposition and extension

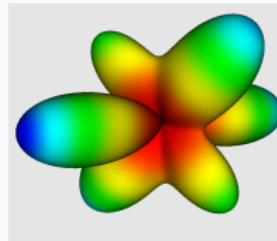
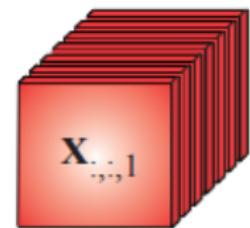
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Rank of tensors

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- ☞ How to compute a **rank-revealing decomposition** of a tensor ?
- ☞ Can we compute robustly the rank ?

Matrices over $\mathbb{K} = \mathbb{R}, \mathbb{C}, \dots$

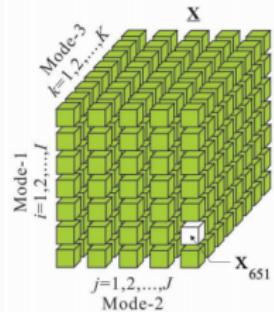
$M \in \mathbb{K}^{n_1 \times n_2} = \mathbb{K}^{n_1} \otimes \mathbb{K}^{n_2}$ is of **rank r** iff there exist
 $U \in \mathbb{K}^{n_1 \times n_1}$, $V \in \mathbb{K}^{n_2 \times n_2}$ invertible and Σ_r diagonal invertible s.t.

$$M = U \begin{pmatrix} \Sigma_r & 0 \\ 0 & 0 \end{pmatrix} V$$

- Σ_r not unique
- $\Sigma_r = I_r$ for some U, V .
- U, V unitary \Rightarrow Singular Value Decomposition
- U, V are **eigenvectors** of $M M^t$ (resp. $M^t M$)
- Best low rank approximation from truncated SVD

Multilinear tensors

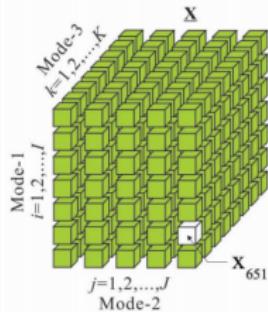
$$T \in \mathbb{K}^{n_1} \otimes \mathbb{K}^{n_2} \otimes \mathbb{K}^{n_3} =$$



$$\equiv T(\mathbf{x}_1, \dots, \mathbf{x}_d) \text{ multilinear in } \mathbf{x}_i = (x_{i,1}, \dots, x_{i,n_i})$$

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Multilinear tensor decomposition problem:

Given a multilinear polynomial $T(\mathbf{x})$ of order d in the variables $\mathbf{x} = (\mathbf{x}_1, \dots, \mathbf{x}_d)$ with coefficients $\in \mathbb{K}$ find a minimal decomposition of T of the form

$$T(\mathbf{x}) = \sum_{i=1}^r u_{j,1}(\mathbf{x}_1) \cdots u_{j,d}(\mathbf{x}_d)$$

(Equivalently $T = \sum_{i=1}^r u_{j,1} \otimes \cdots \otimes u_{j,d}$).

The minimal r in such a decomposition is called the **rank** of T .

Symmetric tensor decomposition and Waring problem (1770)



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Symmetric tensor decomposition problem:

Given a homogeneous polynomial T of degree d in the variables

$\bar{\mathbf{x}} = (x_0, x_1, \dots, x_n)$ with coefficients $\in \mathbb{K}$:

$$T(\bar{\mathbf{x}}) = \sum_{|\alpha|=d} t_\alpha \binom{d}{\alpha} \bar{\mathbf{x}}^\alpha,$$

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$$T(\bar{\mathbf{x}}) = \sum_{i=1}^r \omega_i (\xi_{i,0} x_0 + \xi_{i,1} x_1 + \dots + \xi_{i,n} x_n)^d$$

with $\xi_i = (\xi_{i,0}, \xi_{i,1}, \dots, \xi_{i,n}) \in \overline{\mathbb{K}}^{n+1}$ spanning disctint lines, $\omega_i \in \overline{\mathbb{K}}$.

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Tensor decomposition

Sylvester approach (1851)



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Theorem

The binary form $T(x_0, x_1) = \sum_{i=0}^d t_i \binom{d}{i} x_0^{d-i} x_1^i$ can be decomposed as a sum of r distinct powers of linear forms

$$T = \sum_{k=1}^r \omega_k (\alpha_k x_0 + \beta_k x_1)^d$$



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iff there exists a polynomial $p(x_0, x_1) := p_0 x_0^r + p_1 x_0^{r-1} x_1 + \cdots + p_r x_1^r$ s.t.

$$\begin{bmatrix} t_0 & t_1 & \dots & t_r \\ t_1 & & & t_{r+1} \\ \vdots & & & \vdots \\ t_{d-r} & \dots & t_{d-1} & t_d \end{bmatrix} \begin{bmatrix} p_0 \\ p_1 \\ \vdots \\ p_r \end{bmatrix} = 0$$

and of the form $p = c \prod_{k=1}^r (\beta_k x_0 - \alpha_k x_1)$ with $(\alpha_k : \beta_k)$ distinct.

If $\alpha_k \neq 0$, $\xi_k = \frac{\beta_k}{\alpha_k}$ root of $p(x) = \sum_{i=0}^r p_i x^i$.

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- Compute a non-zero element $\mathbf{p} = [p_0, \dots, p_r]$ in the kernel:

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- Solve the system

$$\begin{bmatrix} 1 & \dots & \dots & 1 \\ \xi_1 & & & \xi_r \\ \vdots & & & \vdots \\ \xi_1^{r-1} & \dots & \dots & \xi_r^{r-1} \end{bmatrix} \begin{bmatrix} \omega_1 \\ \omega_2 \\ \vdots \\ \omega_r \end{bmatrix} = \begin{bmatrix} \sigma_0 \\ \sigma_1 \\ \vdots \\ \sigma_{r-1} \end{bmatrix}.$$

to obtain the decomposition $\sigma_k = \sum_{i=1}^r \omega_i \xi_i^k$.

Duality

$$S^d(E)^* \equiv S^d(E^*) \equiv \mathbb{K}[x_1, \dots, x_n]_d = \mathbb{K}[\mathbf{x}]_d =: S_d.$$

Apolar product: For $f = \sum_{|\alpha|=d} f_\alpha \binom{d}{\alpha} \mathbf{x}^\alpha$, $g = \sum_{|\alpha|=d} g_\alpha \binom{d}{\alpha} \mathbf{x}^\alpha \in S_d$,

$$\langle f, g \rangle_d = \sum_{|\alpha|=d} f_\alpha g_\alpha \binom{d}{\alpha}.$$

Dualization: For $F \in S_d$, $\mathbf{F}^* \in \mathbf{S}_d^* = \text{Hom}_{\mathbb{K}}(\mathbf{S}_d, \mathbb{K}) : p \mapsto \langle F, p \rangle_d$

Properties:

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☞ $F = \sum_{i=1}^r w_i \xi_i^{\otimes d} \in S_d$ iff $F^* \in \langle \mathbf{e}_{\xi_1}^{[d]}, \dots, \mathbf{e}_{\xi_r}^{[d]} \rangle \subset S_d^*$

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☞ Catalecticant $H_F^{k,d-k} : p \in S_{d-k} \mapsto p \star F^* \in S_k^*$
where for $\psi \in S_d^*$, $p \in S_k$, $p \star \psi : q \in S_{d-k} \mapsto \psi(pq)$

(can also be def. by polarisation $v \in S^1(E) \mapsto v \otimes 1 + 1 \otimes v \in S^1(E) \otimes S^0(E) + S^0(E) \otimes S^1(E)$)

Definition (Apolar ideal)

$$F_k^\perp = \ker H_F^{d-k,k}, \quad (F^\perp) = \text{Ann}(F^*) = (F_k^\perp, k \in 0:d)$$

☞ If $F = \sum w_j \xi_j^{\otimes d}$ then, for $p \in S^k$ with $p(\xi_j) = 0$,

$$H_F^{d-k,k}(p) = [\mathbf{x}^\beta \star p \star F^*] = \left[\sum_j \omega_j \xi_j^\beta p(\xi_j) \right] = 0$$

and

$$F_k^\perp \supset I(\Xi)_k := \{p \in S^k \mid p(\xi_j) = 0, j = 1, \dots, r\}.$$

☞ If $V(F_k^\perp) = \{\xi_1, \dots, \xi_r\}$ then $F^* \in \langle \mathbf{e}_{\xi_1}, \dots, \mathbf{e}_{\xi_r} \rangle$ and $F = \sum_j \omega_j \xi_j^{\otimes d}$

☞ If $\text{rank } H_F^{d-k,k} = \dim \langle \mathbf{e}_{\xi_1}^{[k]}, \dots, \mathbf{e}_{\xi_r}^{[k]} \rangle = r$ for some $k \leq d$ then

$$\langle \mathbf{x}^\beta \star F^*; |\beta| = d - k \rangle = \langle \mathbf{e}_{\xi_1}^{[k]}, \dots, \mathbf{e}_{\xi_r}^{[k]} \rangle = I(\Xi)_k^\perp.$$

Definition (Interpolation degree $\iota(\xi_1, \dots, \xi_r)$)

min. degree of interpolation polynomials = CM regularity of $I(\xi_1, \dots, \xi_r) - 1$

Theorem

$F = \sum_{i=1}^r \omega_i \xi_i^{\otimes d} \in S_d$ with $\iota(\xi_1, \dots, \xi_r) < k := \lfloor \frac{d-1}{2} \rfloor$ iff

rank $H_F^{d-k, k} = r$ and there exists $\mathbf{b} \subset S_k$, $\mathbf{b}' \subset S_{d-k-1}$ of size r ,
 $U, V \in \mathbb{K}^{r \times r}$ invertible and Σ_i diagonal s.t.

$$H_F^{\mathbf{b}, \mathbf{x}_i \mathbf{b}'} = U \Sigma_i V \quad \text{for } i \in 1:n$$

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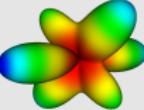
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- ☞ rank $F = r$
- ☞ F is **identifiable** (unique decomp. up to perm. and scaling of ξ_i)
- ☞ $V^{-1} =$ **interpolation polynomials** at ξ_1, \dots, ξ_r (up to scaling)
- ☞ $U =$ **evaluations** $e_{\xi_i}^{[d-k]}$ for $i \in 1:r$ (up to scaling)
- ☞ $\xi_i = ((\Sigma_j)_{i,j})_{j \in 1:n}$, $i \in 1:r$
- ☞ $F_k^\perp = I(\xi_1, \dots, \xi_r)_k$ (generators of the vanishing ideal)

Symmetric tensor decomposition



$$\begin{aligned}
 F &= (x_0 + 3x_1 - x_2)^4 + (x_0 + x_1 + x_2)^4 - 3(x_0 + 2x_1 + 2x_2)^4 \\
 &= -x_0^4 - 8x_0^3x_1 - 24x_0^3x_2 - 60x_0^2x_1^2 - 168x_0^2x_1x_2 - 12x_0^2x_1^2 \\
 &\quad - 96x_0x_2^3 - 240x_0x_1x_2^2 - 384x_0x_1^2x_2 + 16x_0x_1^3 - 46x_2^4 - 200x_1x_2^3 \\
 &\quad - 228x_1^2x_2^2 - 296x_1^3x_2 + 34x_1^4
 \end{aligned}$$

$$\langle F, p \rangle_4 = \langle F^* | p \rangle \text{ where } F^* = \mathbf{e}_{(1,3,-1)} + \mathbf{e}_{(1,1,1)} - 3\mathbf{e}_{(1,2,2)} \quad (\text{by apolarity})$$

$$H_F^{2,2} := \left[\begin{array}{cccccc} \boxed{-1} & \boxed{-2} & \boxed{-6} & \boxed{-2} & \boxed{-14} & \boxed{-10} \\ \boxed{-2} & \boxed{-2} & \boxed{-14} & \boxed{4} & \boxed{-32} & \boxed{-20} \\ \boxed{-6} & \boxed{-14} & \boxed{-10} & \boxed{-32} & \boxed{-20} & \boxed{-24} \\ -2 & 4 & -32 & 34 & -74 & -38 \\ -14 & -32 & -20 & -74 & -38 & -50 \\ -10 & -20 & -24 & -38 & -50 & -46 \end{array} \right]$$

For $\mathbf{b}' = \{x_0, x_1, x_2\}, \mathbf{b} = x_0 \mathbf{b}'$

$$H_F^{\mathbf{b}, x_0 \mathbf{b}'} = \left[\begin{array}{ccc} -1 & -2 & -6 \\ -2 & -2 & -14 \\ -6 & -14 & -10 \end{array} \right]$$

$$H_F^{\mathbf{b}, x_1 \mathbf{b}'} = \left[\begin{array}{ccc} -2 & -2 & -14 \\ -2 & 4 & -32 \\ -14 & -32 & -20 \end{array} \right]$$

$$H_F^{\mathbf{b}, x_2 \mathbf{b}'} = \left[\begin{array}{ccc} -6 & -14 & -10 \\ -14 & -32 & -20 \\ -10 & -20 & -24 \end{array} \right]$$

- The matrix of multiplication by $x_2x_0^{-1}$ in $x_0 \mathbf{b}' = \{x_0^2, x_0x_1, x_0x_2\}$ is

$$M_2 = (H_F^{\mathbf{b}, x_0 \mathbf{b}'})^{-1} H_F^{\mathbf{b}, x_2 \mathbf{b}'} = \begin{bmatrix} 0 & -2 & -2 \\ 0 & \frac{1}{2} & \frac{3}{2} \\ 1 & \frac{5}{2} & \frac{3}{2} \end{bmatrix}.$$

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- Its eigenvalues are $[-1, 1, 2]$ and the eigenvectors:

$$V := \begin{bmatrix} 0 & -2 & -1 \\ \frac{1}{2} & \frac{3}{4} & \frac{1}{2} \\ -\frac{1}{2} & \frac{1}{4} & \frac{1}{2} \end{bmatrix}.$$

that is the polynomials

$$V(\mathbf{x}) = \begin{bmatrix} \frac{1}{2}x_1 - \frac{1}{2}x_2 & -2x_0 + \frac{3}{4}x_1 + \frac{1}{4}x_2 & -x_0 + \frac{1}{2}x_1 + \frac{1}{2}x_2 \end{bmatrix}.$$

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that is the polynomials

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- We deduce the weights and the frequencies:

$$H_F^{\mathbf{b}, V} = \begin{bmatrix} 1 & 1 & -3 \\ 1 \times 3 & 1 \times 1 & -3 \times 2 \\ 1 \times -1 & 1 \times 1 & -3 \times 2 \end{bmatrix}$$

Weights: $1, 1, -3$;

Frequencies: $(-1, 3), (1, 1), (2, 2)$.

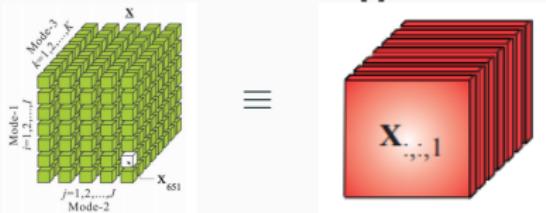
Decomposition:

$$F^* = \mathbf{e}_{(3,-1)} + \mathbf{e}_{(1,1)} - 3 \mathbf{e}_{(2,2)}$$

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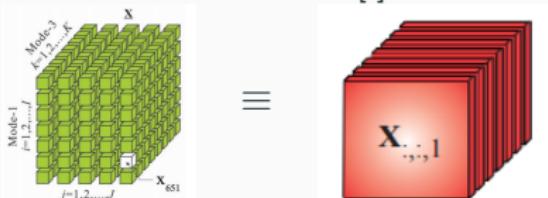
Decomposition of multilinear tensors

$$T \in \mathbb{K}^{n_1} \otimes \mathbb{K}^{n_2} \otimes \mathbb{K}^{n_3} \equiv [T_{[i]}]_{i=1}^{n_3} \text{ pencil of } n_3 \text{ matrices of size } n_1 \times n_2.$$



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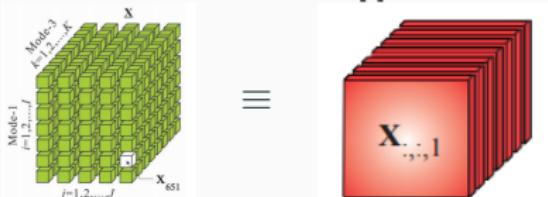
$$T = \sum_{j=1}^{\mathbf{r}} U_j \otimes V_j \otimes W_j \text{ with } U, V \in \mathbb{K}^{\mathbf{r} \times \mathbf{r}}, W \in \mathbb{K}^{n_3 \times \mathbf{r}}$$

$$\text{iff } T_{[i]} = U \operatorname{diag}(W_{i,1}, \dots, W_{i,\mathbf{r}}) V^t \quad i \in 1:n_3$$

If $T_{[1]}$ inv., U = matrix of **common eigenvectors** of $M_i = T_{[i]} T_{[1]}^{-1}$
 V^{-t} = matrix of **common eigenvectors** of $M'_i = T_{[1]}^{-1} T_{[i]}$.

Decomposition of multilinear tensors

$$T \in \mathbb{K}^{n_1} \otimes \mathbb{K}^{n_2} \otimes \mathbb{K}^{n_3} \equiv [T_{[i]}]_{i=1}^{n_3} \text{ pencil of } n_3 \text{ matrices of size } n_1 \times n_2.$$



For $T \in \mathbb{K}^r \otimes \mathbb{K}^r \otimes \mathbb{K}^{n_3}$,

$$T = \sum_{j=1}^r U_j \otimes V_j \otimes W_j \text{ with } U, V \in \mathbb{K}^{r \times r}, W \in \mathbb{K}^{n_3 \times r}$$

$$\text{iff } T_{[i]} = U \text{diag}(W_{i,1}, \dots, W_{i,r}) V^t \quad i \in 1:n_3$$

If $T_{[1]}$ inv., U = matrix of **common eigenvectors** of $M_i = T_{[i]} T_{[1]}^{-1}$
 V^{-t} = matrix of **common eigenvectors** of $M'_i = T_{[1]}^{-1} T_{[i]}$.

Decomposition (when $T_0 = \sum_i l_i T_{[i]}$ invertible):

- Compute the common eigenvectors U of $M_i = T_{[i]} T_0^{-1}$ for $T_0 = \sum_i l_i T_{[i]}$;
- Deduce the common eigenvectors $V^{-t} \Sigma_0 = T_0^{-1} U$ of $M'_i = T_0^{-1} T_{[i]}$ and $\text{diag}(W_{i,1}, \dots, W_{i,r}) = U T_{[i]} V^{-t}$;

Tensor extension

Degree extension of tensors

Definition

For $F \in S_d$ and $d' > d$, $\tilde{F} \in S_{d'}$ is a **degree- d' extension** of F if there exists $x_0 \in S_1$, $x_0 \neq 0$ s.t.

$$\tilde{F} = x_0^{d'-d} F + R$$

with $\deg_{x_0} R < d' - d$.

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☞ Set “ $x_0 = 1$ ”

$$f = F^*_{|x_0=1} \in (S_d^*)_{|x_0=1} = \mathbb{K}[\mathbf{y}]_{\leq d}$$

(dual monomials)

$$\tilde{f} = \tilde{F}^*_{|x_0=1} \in (\tilde{S}_{d'}^*)_{|x_0=1} = \mathbb{K}[\mathbf{y}]_{\leq d'}$$

$$\tilde{f}(\mathbf{y}) = f(\mathbf{y}) + (\mathbf{y})^{d+1}$$

Flat extension of Hankel matrices

For (monomial) sets $\mathbf{b} \subset \mathbf{c}$, $\mathbf{b}' \subset \mathbf{c}'$, $\bar{\mathbf{b}} = \mathbf{c} \setminus \mathbf{b}$, $\bar{\mathbf{b}}' = \mathbf{c}' \setminus \mathbf{b}'$.

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$$\mathbf{H}_F^{\mathbf{c}, \mathbf{c}'} = \left[\begin{array}{c|c} \mathbf{H}_F^{\mathbf{b}, \mathbf{b}'} & H_F^{\mathbf{b}, \bar{\mathbf{b}}'} \\ \hline H_F^{\bar{\mathbf{b}}, \mathbf{b}'} & H_F^{\bar{\mathbf{b}}, \bar{\mathbf{b}}'} \end{array} \right]$$

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Definition

For $F \in S_d$, $d' > d$, $\tilde{F} \in S^{d'}$ is a **flat extension** of F of rank r if $\exists x_0 \in S_1$,

- \tilde{F} is a x_0 degree- d' extension of F
- $\mathbf{b} \subset (x_0) \cap S_k$, $\mathbf{b}' \subset (x_0) \cap S_{d-k}$ of size r s.t.

$$\text{rank } H_{\tilde{F}}^{\mathbf{b}, \mathbf{b}'} = \text{rank } H_{\tilde{F}}^{k, d'-k} = r$$

Definition: For $\mathbf{b} \subset x_0 \cdot S_{d-1}$ and $\mathbf{b}^+ := x_0^{-1} \mathbf{x} \cdot \mathbf{b}$, we say that \mathbf{b} is x_0 -**connected** if $x_0^d \in \mathbf{b}$ and $x_i m \in \mathbf{b} \Rightarrow x_0 m \in \mathbf{b}$.

Theorem

Let $F \in S_{d+d'}^*$, $\mathbf{b} \subset x_0 \cdot S_{d-1}$, $\mathbf{b}' \subset x_0 \cdot S_{d'-1}$ x_0 -connected and $\mathbf{c} \supset \mathbf{b}^+$, $\mathbf{c}' \supset \mathbf{b}'^+$. Assume $H_F^{\mathbf{b}, \mathbf{b}'}$ invertible with $|\mathbf{b}| = |\mathbf{b}'| = r$. The following points are equivalent:

- $H_F^{\mathbf{c}, \mathbf{c}'}$ is a **flat extension** of $H_F^{\mathbf{b}, \mathbf{b}'}$.
- The operators $M_j := H_F^{\mathbf{b}, x_j x_0^{-1} \mathbf{b}'} (H_F^{\mathbf{b}, \mathbf{b}'})^{-1}$ **commute**.

In this case, \tilde{F} is called an **extensor** of F .

[Brachat-Comon-M-Tsigaridas'10; Brachat-Bernardi-Comon-M'11; Laurent-M'09]

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Theorem

$F \in S_d$ is of rank r iff there exists a flat extension $\tilde{F} \in S_{d'}$ of F of rank r with $d' = m + m'$, $m = \max\{r - 1, \lceil \frac{d}{2} \rceil\}$, $m' = \max\{r, \lfloor \frac{d}{2} \rfloor\}$.

[Bernardi-Brachat-M'11; Buczynska-Buczynski'11]

If \tilde{F} is a **flat extension** of F of rank r , then

- ☞ $I := (\ker H_{\tilde{F}}^{k,d'-k})$ zero-dimensional of degree r .
- ☞ $I \subset (F^\perp)$ is apolar to F .
- ☞ If $\mathcal{V}_{\overline{\mathbb{K}}}(I) \equiv \{\xi_1, \dots, \xi_r\}$ and I is radical, then $F = \sum_{i=1}^r \xi_i^{\otimes d}$.
- ☞ If $\mathcal{V}_{\overline{\mathbb{K}}}(I) \equiv \{\xi_1, \dots, \xi_{r'}\}$ and the local inverse system of I at ξ_i is “generated” by $w_i \in S_{d_i}$ then $F = \sum_{i=1}^{r'} \xi_i^{\otimes d-d_i} w_i$
(General Additive Decomposition [Iarrobino-Kanev'99]).
- ☞ $\exists \mathbf{b} \subset S_m, x_0 \in S_1, \mathbf{b}' \subset x_0 \cdot S_{m'-1}, |\mathbf{b}| = |\mathbf{b}'| = r$ s.t. $\text{rank } H_{\tilde{F}}^{\mathbf{b}, \mathbf{b}'} = r$
- ☞ $\exists U, V \in \overline{\mathbb{K}}^{r \times r}$ invertible, $\Sigma_i \in \overline{\mathbb{K}}^{r \times r}$ diagonal s.t.

$$H_{\tilde{F}}^{\mathbf{b}, x_i x_0^{-1} \mathbf{b}'} = U \Sigma_i V \quad \text{for } i \in 1:n$$

- ☞ $F = \sum_{i=1}^r w_i \xi_i^{\otimes d}$ with $\xi_i = ((\Sigma_j)_{i,j})_{j \in 1:n}, i \in 1:r$
- ☞ $U = \text{common eigenvectors}$ of $M_j := H_{\tilde{F}}^{\mathbf{b}, x_j x_0^{-1} \mathbf{b}'} (H_{\tilde{F}}^{\mathbf{b}, \mathbf{b}'})^{-1}$
= **evaluation** $\mathbf{e}_{\xi_i | \mathbf{b}}$ at ξ_i for $i \in 1:r$ (up to scaling)
- ☞ $V^{-1} = \text{common eigenvectors}$ of $M'_j := (H_{\tilde{F}}^{\mathbf{b}, \mathbf{b}'})^{-1} H_{\tilde{F}}^{\mathbf{b}, x_j x_0^{-1} \mathbf{b}'}$
= **interpolation polynomials** at ξ_1, \dots, ξ_r (up to scaling)

Let $F \in S^4(\mathbb{C}^3)$ with $\text{rank } F = 4$ with 4 generic points ξ_1, \dots, ξ_4 , e.g.

$$F = x_0^4 + (x_0 + x_1)^4 + (x_0 + x_2)^4 + (x_0 + x_1 + x_2)^4$$

$$\begin{aligned} &= 4x_0^4 + 8x_0^3x_1 + 8x_0^3x_2 + 12x_0^2x_1^2 + 12x_0^2x_1x_2 + 12x_0^2x_2^2 + 8x_0x_1^2 + 12x_0x_1^2x_2 + 12x_0x_1x_2^2 \\ &\quad + 8x_0x_2^3 + 2x_1^4 + 4x_1^3x_2 + 6x_1^2x_2^2 + 4x_1x_2^3 + 2x_2^4 \end{aligned}$$

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► Let $h_k = \text{rank } H_F^{d-k, k}$ for $0 \leq k \leq 4$:

$$\mathbf{h} = [1, 3, 4, 3, 1]$$

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- ▶ Let $h_k = \text{rank } H_F^{d-k,k}$ for $0 \leq k \leq 4$:

$$\mathbf{h} = [1, 3, 4, 3, 1]$$

- ▶ $H_0 := H_F^{2,2}$ of rank 4:

$$H_F^{2,2} = \begin{bmatrix} 4 & 2 & 2 & 2 & 1 & 2 \\ 2 & 2 & 1 & 2 & 1 & 1 \\ 2 & 1 & 2 & 1 & 1 & 2 \\ 2 & 2 & 1 & 2 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 \\ 2 & 1 & 2 & 1 & 1 & 2 \end{bmatrix}$$

- ▶ $F_2^\perp = \ker H_0 = \langle q_1, q_2 \rangle \subset S^2$, e.g.

$$q_1 = x_0x_1 - x_1^2, q_2 = x_0x_2 - x_2^2$$

and $V(q_1, q_2) = \{\xi_1, \xi_2, \xi_3, \xi_4\}$.

☞ We find an **extensor** $\tilde{F} \in S^5(\mathbb{C}^3)$ such that $x_0 \star \tilde{F} = F$ with

$$H := H_{\tilde{F}}^{2,3} = \begin{bmatrix} H_0 & H_1 \end{bmatrix}$$

where $H_0 = H_F^{2,2}$, s.t. $\tilde{F}_3^\perp = \ker H \supset \langle q_1 \cdot (x_0, x_1, x_2) + q_2 \cdot (x_0, x_1, x_2) \rangle$:

$$R = \left[\begin{array}{cc|ccccc} 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ -1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & -1 & 0 & 0 & 0 & 1 \\ \hline 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \end{array} \right] = \left[\begin{array}{c} R_0 \\ \hline R_1 \end{array} \right]$$

with $H_0 R_0 + H_1 R_1 = 0$.

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with $H_0 R_0 + H_1 R_1 = 0$.

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☞ Deduce by eigenvector comp. from H of rank 4, the decomposition with $\xi_1 = [1 : 0 : 0]$, $\xi_2 = [1 : 1 : 0]$, $\xi_3 = [1, 0, 1]$, $\xi_4 = [1 : 1 : 1]$:

$$H = \left[\begin{array}{cccc|cc|cccc} 4 & 2 & 2 & 2 & 1 & 2 & 2 & 1 & 1 & 2 \\ 2 & 2 & 1 & 2 & 1 & 1 & 2 & 1 & 1 & 1 \\ 2 & 1 & 2 & 1 & 1 & 2 & 1 & 1 & 1 & 2 \\ 2 & 2 & 1 & 2 & 1 & 1 & 2 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 2 & 1 & 2 & 1 & 1 & 2 & 1 & 1 & 1 & 2 \end{array} \right]$$

Symmetric tensor of order 4, rank 6 in 3 variables

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The tensor:

$$T = 79x_0x_1^3 + 56x_0^2x_2^2 + 49x_1^2x_2^2 + 4x_0x_1x_2^2 + 57x_0^3x_1.$$

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The 15×15 Hankel matrix:

	1	x_1	x_2	x_1^2	x_1x_2	x_2^2	x_1^3	$x_1^2x_2$	$x_1x_2^2$	x_2^3	x_1^4	$x_1^3x_2$	$x_1^2x_2^2$	$x_1x_2^3$	x_2^4
1	0	$\frac{57}{4}$	0	0	0	$\frac{28}{3}$	$\frac{79}{4}$	0	$\frac{1}{3}$	0	0	0	$\frac{49}{6}$	0	0
x_1	$\frac{57}{4}$	0	0	$\frac{79}{4}$	0	$\frac{1}{3}$	0	0	$\frac{49}{6}$	0	h_{500}	h_{410}	h_{320}	h_{230}	h_{140}
x_2	0	0	$\frac{28}{3}$	0	$\frac{1}{3}$	0	0	0	$\frac{49}{6}$	0	h_{410}	h_{320}	h_{230}	h_{140}	h_{050}
x_1^2	0	$\frac{79}{4}$	0	0	0	$\frac{49}{6}$	h_{500}	h_{410}	h_{320}	h_{230}	h_{600}	h_{510}	h_{420}	h_{330}	h_{240}
x_1x_2	0	0	$\frac{1}{3}$	0	$\frac{49}{6}$	0	h_{410}	h_{320}	h_{230}	h_{140}	h_{510}	h_{420}	h_{330}	h_{240}	h_{150}
x_2^2	$\frac{28}{3}$	$\frac{1}{3}$	0	$\frac{49}{6}$	0	0	h_{320}	h_{230}	h_{140}	h_{050}	h_{420}	h_{330}	h_{240}	h_{150}	h_{060}
x_1^3	$\frac{79}{4}$	0	0	h_{500}	h_{410}	h_{320}	h_{600}	h_{510}	h_{420}	h_{330}	h_{700}	h_{610}	h_{520}	h_{430}	h_{340}
$x_1^2x_2$	0	0	$\frac{49}{6}$	h_{410}	h_{320}	h_{230}	h_{510}	h_{420}	h_{330}	h_{240}	h_{610}	h_{520}	h_{430}	h_{340}	h_{250}
$x_1x_2^2$	$\frac{1}{3}$	$\frac{49}{6}$	0	h_{320}	h_{230}	h_{140}	h_{420}	h_{330}	h_{240}	h_{150}	h_{520}	h_{430}	h_{340}	h_{250}	h_{160}
x_2^3	0	0	0	h_{230}	h_{140}	h_{050}	h_{330}	h_{240}	h_{150}	h_{060}	h_{430}	h_{340}	h_{250}	h_{160}	h_{070}
x_1^4	0	h_{500}	h_{410}	h_{600}	h_{510}	h_{420}	h_{700}	h_{610}	h_{520}	h_{430}	h_{800}	h_{710}	h_{620}	h_{530}	h_{440}
$x_1^3x_2$	0	h_{410}	h_{320}	h_{510}	h_{420}	h_{330}	h_{610}	h_{520}	h_{430}	h_{340}	h_{710}	h_{620}	h_{530}	h_{440}	h_{350}
$x_1^2x_2^2$	$\frac{49}{6}$	h_{320}	h_{230}	h_{420}	h_{330}	h_{240}	h_{520}	h_{430}	h_{340}	h_{250}	h_{620}	h_{530}	h_{440}	h_{350}	h_{260}
$x_1x_2^3$	0	h_{230}	h_{140}	h_{330}	h_{240}	h_{150}	h_{430}	h_{340}	h_{250}	h_{160}	h_{530}	h_{440}	h_{350}	h_{260}	h_{170}
x_2^4	0	h_{140}	h_{050}	h_{240}	h_{150}	h_{060}	h_{340}	h_{250}	h_{160}	h_{070}	h_{440}	h_{350}	h_{260}	h_{170}	h_{080}

► Extract a (6×6) principal minor of full rank:

$$H_{\sigma}^B = \begin{bmatrix} 0 & \frac{57}{4} & 0 & 0 & 0 & \frac{28}{3} \\ \frac{57}{4} & 0 & 0 & \frac{79}{4} & 0 & \frac{1}{3} \\ 0 & 0 & \frac{28}{3} & 0 & \frac{1}{3} & 0 \\ 0 & \frac{79}{4} & 0 & 0 & 0 & \frac{49}{6} \\ 0 & 0 & \frac{1}{3} & 0 & \frac{49}{6} & 0 \\ \frac{28}{3} & \frac{1}{3} & 0 & \frac{49}{6} & 0 & 0 \end{bmatrix}$$

The columns (and the rows) of the matrix correspond to the monomials $B = \{1, x_1, x_2, x_1^2, x_1x_2, x_2^2\}$. The shifted matrix $H_{x_1 \cdot \sigma}^{B, B}$ is

$$H_{x_1 \cdot \sigma}^{B, B} = H_{\sigma}^{B, x_1 B} = \begin{bmatrix} \frac{57}{4} & 0 & 0 & \frac{79}{4} & 0 & \frac{1}{3} \\ 0 & \frac{79}{4} & 0 & 0 & 0 & \frac{49}{6} \\ 0 & 0 & \frac{1}{3} & 0 & \frac{49}{6} & 0 \\ \frac{79}{4} & 0 & 0 & h_{500} & h_{410} & h_{320} \\ 0 & 0 & \frac{49}{6} & h_{410} & h_{320} & h_{230} \\ \frac{1}{3} & \frac{49}{6} & 0 & h_{320} & h_{230} & h_{140} \end{bmatrix}$$

The columns of the matrix correspond to the monomials of $x_1 \cdot B$. Similarly,

$$H_{x_2 \cdot \sigma}^{B, B} = H_{\sigma}^{B, x_2 B} = \begin{bmatrix} 0 & 0 & \frac{28}{3} & 0 & \frac{1}{3} & 0 \\ 0 & 0 & \frac{1}{3} & 0 & \frac{49}{6} & 0 \\ \frac{28}{3} & \frac{1}{3} & 0 & \frac{49}{6} & 0 & 0 \\ 0 & 0 & \frac{49}{6} & h_{410} & h_{320} & h_{230} \\ \frac{1}{3} & \frac{49}{6} & 0 & h_{320} & h_{230} & h_{140} \\ 0 & 0 & 0 & h_{230} & h_{140} & h_{050} \end{bmatrix}$$

- Solve the commutation equations the unknonws $h_{500}, h_{410}, h_{320}, h_{230}, h_{140}, h_{050}$

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- Solve the over-constrained linear system to obtain the weights:

$$\begin{aligned} & (0.517 + 0.044i)(x_0 - (0.830 - 1.593i)x_1 - (0.326 + 0.050i)x_2)^4 \\ & + (0.517 - 0.044i)(x_0 - (0.830 + 1.593i)x_1 - (0.326 - 0.050i)x_2)^4 \\ & \quad + 2.958(x_0 + (1.142)x_1 + 0.836x_2)^4 \\ & \quad + 4.583(x_0 + (0.956)x_1 - 0.713x_2)^4 \\ & - (4.288 + 1.119i)(x_0 - (0.838 - 0.130i)x_1 + (0.060 + 0.736i)x_2)^4 \\ & - (4.288 - 1.119i)(x_0 - (0.838 + 0.130i)x_1 + (0.060 - 0.736i)x_2)^4 \end{aligned}$$

Dimension extensions of multilinear tensors

Definition

- ▶ $\tilde{T} \in \mathbb{K}^{\tilde{n}_1} \otimes \mathbb{K}^{\tilde{n}_2} \otimes \mathbb{K}^{\tilde{n}_3}$ is a dimension-**extension** of $T \in \mathbb{K}^{n_1} \otimes \mathbb{K}^{n_2} \otimes \mathbb{K}^{n_3}$ if
 $\tilde{T}_{i_1, i_2, i_3} = T_{i_1, i_2, i_3}$ for $i_1 \in 1:n_1$, $i_2 \in 1:n_2$, $i_3 \in 1:n_3$
- ▶ \tilde{T} is a dimension-**extensor** of T if $\text{rank } \tilde{T} = \text{rank } T$.

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Theorem (Strassen'83)

A tensor $T \in \mathbb{K}^{n_1} \otimes \mathbb{K}^{n_2} \otimes \mathbb{K}^{n_3}$ is of rank $r > \max(n_i)$ iff there exists an **extensor** $\tilde{T} \in \mathbb{K}^r \otimes \mathbb{K}^r \otimes \mathbb{K}^{n_3}$ of T s.t.

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with \tilde{U}, \tilde{V} invertible and Σ_i diagonal.

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Such an extensor \tilde{T} of T can be obtained by completing $U \in \mathbb{K}^{n_1 \times r}$, $V \in \mathbb{K}^{n_2 \times r}$ such that $T = \sum_i U_i \otimes V_i \otimes W_i$ by **generic** matrices:

$$\tilde{U} = \begin{bmatrix} U \\ U' \end{bmatrix} \quad \tilde{V} = \begin{bmatrix} V \\ V' \end{bmatrix}$$

Dimension extensors for symmetric tensors

Definition

- ▶ For $E = \mathbb{K}^n$, $E' = \mathbb{K}^{n'}$, $n' > n$, $\tilde{F} \in S^d(E')$ is a dimension-**extensor** of $F \in S^d(E)$ if there exists $\pi : E' \rightarrow E$ projection s.t. $F = \pi^{\otimes d}(\tilde{F})$
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☞ $F(x_1, \dots, x_n) = \tilde{F}(x_1, \dots, x_n, 0, \dots, 0)$

Theorem

$F \in S^d(E)$ is of rank $\leq r$ iff there exists a **space extensor** $\tilde{F} \in S^d(E')$ of F , $\mathbf{b} \subset S^k(E')$, $\mathbf{b}' \subset (x_0) \cap S^{d-k}(E')$, $U, V \in \overline{\mathbb{K}}^{r \times r}$ invertible and $\Sigma_i \in \overline{\mathbb{K}}^{r \times r}$ diagonal s.t.

$$H_{\tilde{F}}^{\mathbf{b}, x'_i x_0^{-1} \mathbf{b}'} = U \Sigma_i V \quad i \in 1:n'$$

The connection with the Hilbert scheme

$$\begin{array}{lll} I \text{ ideal of } S = \mathbb{K}[\bar{x}] & & \mathcal{D} = I^\perp \subset S^*, \text{ stable by } x_i \star \cdot \\ I \text{ defines } r \text{ points} & \Leftrightarrow & \mathcal{D} \text{ of dimension } r \in \text{Grass}_r(S^*) \\ (\text{with multiplicity}) & & \end{array}$$

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Hilbert scheme

$\text{Hilb}^r(\mathbb{P}^n) = \text{set of homogeneous saturated ideals } I \subset S = \mathbb{K}[x_0, \dots, x_n]$
such that I defines r points, counted with mult. (i.e. $\mathcal{A} = R/I$ of dimension r).

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$I \in \text{Hilb}^r(\mathbb{P}^n)$ represented by $I_\rho^\perp \in \text{Hilb}_\rho^r$ its orthogonal in degree $\rho \geq r - 1$:

$$I_\rho^\perp \equiv \nu_1 \wedge \cdots \wedge \nu_r \in \text{Gr}_r(S_\rho^*)$$

such that $x_i \star I_{\rho+1}^\perp \subset I_\rho^\perp$ for $i \in 1:n$.

☞ $\text{Hilb}^r(\mathbb{P}^n) \subset \text{Gr}_r(S_\rho^*) \subset \mathbb{P}(\wedge^r S_\rho^*)$ for $\rho \geq r - 1$

Points in $\text{Gr}_r(S_m^*)$

$$= \{U_1 \wedge \cdots \wedge U_r, U_i \in S_m^*\} \subset \mathbb{P}(\wedge^r S_m^*)$$

$$= \mathcal{M}^o(r, s_m)/_r: U \sim U' \text{ iff } \exists G \in_r \text{ s.t. } U = G U'$$

$$= \text{St}(r, s_m)/\mathcal{O}_r: U, U' \in \text{St}(r, s_m) = \{UU^t = I_r\} \text{ (Stiefel manifold)}$$

$$U \sim U' \text{ iff } \exists O \in \mathcal{O}_r \text{ s.t. } U = O U'.$$

Plücker coordinates: $\Delta_{\mathbf{b}}(U) = \det(U_{[:, \mathbf{b}]})$ for $\mathbf{b} = \{b_{i_1}, \dots, b_{i_r}\}$ a r-tuple of a (monomial) basis $\{b_1, \dots, b_{s_m}\}$ of S_m .

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Points in $Hilb_r^m$: For an ideal $I \subset S$ defining r points (c.w.m.)

- $U = I_m^\perp = \begin{bmatrix} \nu_1(b_1) & \cdots & \nu_1(b_{s_m}) \\ \vdots & & \vdots \\ \nu_r(b_1) & \cdots & \nu_r(b_{s_m}) \end{bmatrix} = \begin{bmatrix} \cdots \nu_1 \cdots \\ & \vdots & \\ \cdots \nu_r \cdots \end{bmatrix}$

where $\{\nu_1, \dots, \nu_r\}$ is a basis of I_m^\perp .

- $\mathbf{b} \subset S_m$ is a basis of S_m/I_m iff $\Delta_{\mathbf{b}}(U) \neq 0$.

- $\nu_i: p \rightarrow \frac{1}{\Delta_{\mathbf{b}}} \Delta_{b_1, \dots, b_{i-1}, p, b_{i+1}, \dots, b_r}$

defining the **normal form** $p \mapsto N(p) := \sum_{i=1}^r \nu_i(p) \mathbf{b}_i \quad (\equiv p \pmod{I})$

Local description of the Hilbert Scheme

A covering of $\text{Hilb}^r(\mathbb{P}^n)$:

$$\text{Hilb}_{\mathbf{b}}^m = \{I \in \text{Hilb}_r^m \mid \mathbf{b} \text{ basis of } \mathcal{A} = \underline{S_m}/\underline{I_m} \text{ i.e. } \Delta_{\mathbf{b}}(I_m^\perp) \neq 0\}$$

for \mathbf{b} a set of r x_0 -monomials connected.

Theorem

$\text{Hilb}_{\mathbf{b}}^m$ is isomorphic to the set of all $\nu = (\nu_\beta^\alpha)_{x^\alpha \in \partial \mathbf{b}, x^\beta \in \mathbf{b}}$ such that the operators of multiplication $M_{x_i}(\nu) : b \in \langle \mathbf{b} \rangle \mapsto N(x_i b) \in \langle \mathbf{b} \rangle$ commute:

$$M_{x_i}(\nu) \circ M_{x_j}(\nu) - M_{x_j}(\nu) \circ M_{x_i}(\nu) = 0$$

where

$$N(x^\alpha) = \sum_{\beta \in \mathbf{b}} \nu_\beta^\alpha x^\beta \text{ for } x^\alpha \in \partial \mathbf{b} = \mathbf{b}^+ \setminus \mathbf{b}, \quad N(x^\beta) = x^\beta \text{ for } x^\beta \in \mathbf{b}$$

☞ $M_{x_i}(\nu) = U_{\mathbf{b}}^{-1} U_{x_i \cdot \mathbf{b}}$ where $U \in \mathbb{K}^{r \times s_m}$ is representing I_m^\perp

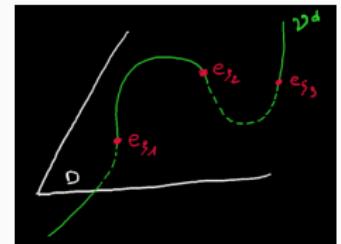
[Alonso-Brachat-M'10]

See also [Bertone-Cioffi-Lella-Marinaria-Roggero'11,13; Kreuzer-Robbianno'11; Lederer'11; Brachat-Lella-M-Roggero'14]

For $F = \sum_{i=1}^r w_i \xi_i^{\otimes d}$ and \tilde{F} a **degree- $(m+m')$ extensor of rank r**
 $(\tilde{F}^* = \sum_{i=1}^r \omega_i \mathbf{e}_{\xi_i})$, with $\omega_i \in \mathbb{K} \setminus \{0\}$ and $\xi_i \in \mathbb{K}^n$ distinct, $m, m' \geq r$.
Let $\mathcal{D} = \mathbf{I}_m^\perp \in \text{Hilb}_m^r$ be the element associated to \tilde{F} .

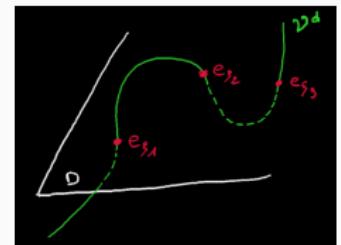
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- $\mathcal{D} \equiv e_{\xi_1}^{[m]} \wedge \cdots \wedge e_{\xi_r}^{[m]}$ s.t. the evaluation $e_\xi^{[m]}$ is a point of the Veronese variety $\mathcal{V}_n^m = \{(\xi^\alpha)_{|\alpha|=m}, \xi \in \mathbb{C}^n\} \subset S_m^*$.



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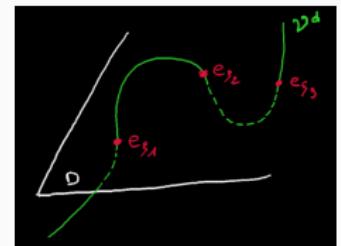


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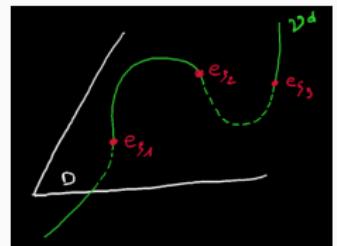
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- For $U \in \mathbb{K}^{r \times s_m}$ representing $\mathcal{D} \in \text{Hilb}_m^r$ and \mathbf{b} an r -tuple of $(x_0) \cap S_{m'}$ with $U_{\mathbf{b}}$ invertible,

- ☞ The common eigenvectors of $[(U_{\mathbf{b}})^{-1} U_{x_i x_0^{-1} \mathbf{b}'}]_{i \in 1:n}$ are the **interpolation polynomials** at ξ_i (up to scaling)
- ☞ The common eigenvectors of $[U_{x_i x_0^{-1} \mathbf{b}} (U_{\mathbf{b}})^{-1}]_{i \in 1:n}$ are the **evaluations** $\mathbf{e}_{\xi_i}^{[\mathbf{b}]}$ (up to scaling)



Connection with the variety of commuting matrices

Definition (Commuting matrices)

- ▶ $E = \mathbb{K}^r$
- ▶ $\mathcal{V}_{n,r} = \{D = (M_0, \dots, M_n, w) \in (\text{end}(E))^{n+1} \times E \mid M_i M_j - M_j M_i = 0\}$
- ▶ $D \in \mathcal{V}_{n,r}^{\text{st}}$ if there is no $S \subsetneq E$ such that $M_i(S) \subset S$, $w \in S$
- ▶ $\mathcal{M}_{n,r} = \mathcal{V}_{n,r}^{\text{st}} / \text{GL}_r$

Property: $\mathcal{M}_{n,r} \sim \text{Hilb}_r(\mathbb{P}^n)$,

$$D \in \mathcal{M}_{n,r} \mapsto I = \{p \in S \mid p(M_0, \dots, M_n) w = 0\}$$

$F \in S_d$ of rank $r \mapsto D = (M_0, \dots, M_n, w) \in \mathcal{M}_{n,r}$ where $M_i = \tilde{H}_0^{-1} \tilde{H}_i$ and $w = x_0^m$.

Thanks for your attention